To Registration Statement

Under the Foreign Agents Registration Act of 1938, as amended

Privacy Act Statement. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, dissemination report, copy of political propaganda or other document or information filed with the Attorney General under this act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, D.C. One copy is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of such documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. Finally, the Attorney General transmits an annual report to the Congress on the Administration of the Act which lists the names of all agents and the nature, sources and content of the political propaganda disseminated or distributed by them. This report is available to the public.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Criminal Division, U.S. Department of Justice, Washington, D.C. 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently.

. \	ionai joreign principai acquirea	~	<u>,</u>
1. Name and address of registrant RUSSEII J. PENDRED 5 SALT CREEK ROAD, SU.	TE 204, DARTMONT	H, MA 02748	2. Registration No.
3. Name of foreign principal DR. KARL DOBLES IN NEUCHATEL GOVERN	dustry REPRESENTATION	4. Principal address Collegia 2001 Neucha	of foreign principal LE 3 TEC Switzent
5. Indicate whether your foreign principal is one of	the following type:		
☐ Foreign government☐ Foreign political party			
☐ Foreign or ☐ domestic organization: If either	, check one of the following:		
☐ Partnership	□ Committee		
☐ Corporation	☐ Voluntary group	,	
☐ Association	🕱 Other (specify)	CONSULTAN	7
▼ Individual—State his nationalitySu			
6. If the foreign principal is a foreign government, a) Branch or agency represented by the registrar b) Name and title of official with whom registrar FRANC:S SERMET DELEGATE FOR ECONOMIC A	nt. Economics Depart NeuchateC nt deals.		
 7. If the foreign principal is a foreign political party a) Principal address b) Name and title of official with whom registrant c) Principal aim 	, state: NONE	REGISTRATION UNIT	SEED TO THE STORY SEED TO THE PROPERTY OF THE STORY SEED TO THE STOR

8. If t	foreign principal is not a foreign government or a foreign political party,	
a) :	ate the nature of the business or activity of this foreign principal	
	Dr. Dobler is A CONSULTANT TO THE DEPARTMENT OF ECON	OMIC
F	THE CANTIN OF NEUCHAIS (IN JUITED 12NC) DISTINCT	
, ,	1 1 DEAMNES NOINE BUSINESS (MANUFACTURING VISIREBUSIN	رسده
کے	(i) WITHIN THE CANTON OF NEUCHATEC.	
	s this foreign principal	
	Owned by a foreign government, foreign political party, or other foreign principal	
	Directed by a foreign government, foreign political party, or other foreign principal	×X
(1)	Controlled by a foreign government, foreign political party, or other foreign principal	
(2)	Financed by a foreign government, foreign political party, or other foreign principal Yes 💢 No	, 🗆
	Subsidized in whole by a foreign government, foreign political party, or other foreign principal Yes 🗆 No) jx
	Subsidized in part by a foreign government, foreign political party, or other foreign principal) X
(1).	DI. Dobler is controlled By THE NEUCHATEL GOVERNMENT TO THE EXTENT THAT HE CAN NOT MISPEPRESENT THE ECONOMIC INCENTATIVE PROGRAM MADE AVAILABLE TO FOREIGN BUSINE UISHING TO ESTASISH A BUSINESS WITHIN THE WISHING TO ESTASISH A BUSINESS WITHIN THE NEUCHATEL CANTON OF SWITZENLAND. Any AND ALL M NEUCHATEL CANTON OF SWITZENLAND. THE PROGRA REFLECTING THE NEUCHATEL ECONOMIC INCENTIVE PROGRA MUST BE APPROVED BY THE DEPARTMENT OF ECONOMIC AFFAIRS FOR THE NEUCHATEL GOVERNMENT. DI. Dobler Receives A Consulting FEE For His SERVICUS FROM THE DEPARTMENT OF ECONOMIC AFFAIR FOR THE NEUCHATEL GOVERNMENT.	IN/ERI RAM
	the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or of foreign principal, state who owns and controls it. Department of Economic AFFAGES For THE NEUCHATEL GOVERNMENT OF ECONOMIC AFFAGES FOR THE ECONOMIC AFFAGES FOR THE NEUCHATEL GOVERNMENT OF ECONOMIC AFFAGES FOR THE ECONOMIC AFFAGES FOR TH	
17/2	DEPARTMENT OF ECONOMIC AFFAIRS FOR THE NEUCHATEL GOVER	マヘノベ
	of Exhibit A Name and Title Signature	
F	GRUARY 21 1995 RYSSEIL J. PENDRED *U.S. GOVERNMENT Printing Office: 1903-342-48	
	CONSULTANT (*U.S. Government Printing Office: 1993 — 342-48	7/72127

Exhibit B

To Registration Statement

OMB No. 105-0007 Approval Expires Nov. 30, 1993

Under the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements; or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. This form shall be filed in triplicate for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

Privacy Act Statement. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, dissemination report, copy of political propaganda or other document or information filed with the Attorney General under this act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, D.C. One copy is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of such documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. Finally, the Attorney General transmits an annual report to the Congress on the Administration of the Act which lists the names of all agents and the nature, sources and content of the political propaganda disseminated or distributed by them. This report is available to the public.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief. Registration Unit, Criminal Division, U.S. Department of Justice, Washington, D.C. 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

Name of Registrant			Name of Foreign Principal		
Russell -	JESS TENdRED	Dn.	KARL Dobler		
	Che	ck Appropriate Box	oxes:		
	between the registrant and the above- pies of the contract to this exhibit.	named foreign princ	ncipal is a formal written contract. If this box is chec	cked.	
			cipal. The agreement with the above-named foreign prin		
cluding a copy	of any initial proposal which has bee	n adopted by refere	ed, attach three copies of all pertinent correspondence rence in such correspondence. principal is the result of neither a formal written correspondence.		
cluding a copy 3. The agreement nor an exchange	of any initial proposal which has bee or understanding between the registra e of correspondence between the partie	n adopted by referent and the foreign person is che	rence in such correspondence.	ntract	
cluding a copy 3. The agreement nor an exchange	of any initial proposal which has bee or understanding between the registra e of correspondence between the partie	n adopted by referent and the foreign person is che	principal is the result of neither a formal written cornecked, give a complete description below of the terms	ntract	

4. Describe fully the nature and method of performance of the above indicated agreement or understanding.

THE CONTRACT IS TO I DENSITY APPROPRIATE COMPANIES WITHIN

THE MEDICAL DENSILE, BIOGENIC PHARMACENTICAL AND

CHEMICAL INDUSTRY THAT MAY HAVE AN INTERNOT IN ESTABLISHING

CHEMICAL INDUSTRY THAT MAY HAVE AN INTERNOT IN ESTABLISHING

A PLACE OF BUSINESS WITHIN THE CANTON OF NEUCHATEL.

MY MANDATE IS TO IDENTIFY POTENTIAL COMPANIES. THE

RENEWAL OF MY CONTRACT WITH BASED BASED ON THE SUCCESS

PORMICEMENT

TO I DENTIFY THESE COMPANIES.

	ctivities the registrant engages in or proposes to engage in on behalf of the above foreign principal.
(A) A	Trend conferences to identify potential companies.
(B) \(\)	ETWORE OR CONTACT PRIN BUSINESS ASSOCIATES
•	LETWORK" OR CONTACT PRIN BUSINESS ASSOCIATED WHO MISAT HAVE AN INTENEST IN doing BUSINES
	IN NEUCHATEL
(c) . C	andre A PHONE CAMPAISON TO ICENTY
	2 Carlo Cara AANIEA.
(b) f	ollow-up on pain LEADS on Contacts alle By THE NEUCHATE (CANTON ON THE
	1 2 DE NEUCHATE (CANTON ON THE
M	TANK BY ME
Su	UISS GENERAL CONSULATE.

6. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act?¹
Yes ☒ No □

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

POTENTIAL COMPANIES WILL FOR TOODVEED TO
THE ECONOMIC INCENTIVES MADE AVAILABLE FOR LOCATING
A RUSINISS TO THE CANTON OF NEUCHATE(. THIS WILL
INCLUDE VANIOUS FORMS OF TAX RELIEF SUSSIDY, PROJECT
MANAGEMENT ASSISTANCE, WORK PERMITS AND OTHER
ECONOMIC AIDS TO HEGD A COMPANY ESTABLISH
A NEW BUSINESS WITHIN THE NEUCHATE(CANTON
Of SW:TZENIAND.

Date of Exhibit B	Name and Title	Signature
DECEMBER 14, 1984	RUSSELL J. JEN CAFO	Standard .

Political activity as defined in Section 1(o) of the Act means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political party.

Personal and confidential

Mr Russell J. Pendred
5 Salt Creek Road
South Darmouth, Massachusetts
USA

MANDATE

Dear Mr Pendred,

I herewith confer you the following MANDATE:

1. <u>Goal</u>

The goal is to realize primarily appropriate industry but also suitable services projects in the Canton of Neuchâtel, with emphasis on Medical Device, Biogenic, Pharmaceutical and Chemical companies.

2. <u>Task</u>

The task consists in establishing contacts and in conducting negotiations to achieve a positive decision for project realization.

3. Marketing or acquisition concept

The emphasis is laid on making use of your direct and indirect relations network, without excluding other approaches like professional conferences, phone and mail sollicitations. This shall allow to continuously complement the existing relations potential through new contacts.

4. Characteristic feature

The task is personal, however, allowing and recommending your making the best possible use of the network potential of yourself, of your partners and of all your relations.

5. Market or acquisition area

The market or acquisition area is the whole of the United States of America. To complete this acquisition basis, also contacts with foreign companies and with American companies outside the United States can enter into consideration.

6. Volume and approach

We start from the fact that the Neuchâtel task will be your main occupation and that you will use most of your time for it. In this spirit we particularly make the two following exceptions:

- If a prospective client decides not to relocate to Neuchâtel, but elects to chose another European location, you will be allowed to work with the client on his own time and expense.
- If Johnson & Johnson requests consulting time, you will be allowed to consult with J&J on his own time and expense. Under no circumstance will this interfere with the Neuchâtel Canton contract.

For each three month period you will submit in advance an action and budget plan for discussion and our approval.

7. Remuneration

Your remuneration per month amounts to

a fee of US\$ 7'500.-- (seven thousand five hundred US Dollars)

plus

- reimbursement of the activity related expenditures, within the frame of the budget, particularly for
 - -- travel (hotel, personal car, flights : economy class for domestic flights and business class for international flights)
 - -- client receptions, client meetings and
 - -- communications (phone, fax, postal).

The above remuneration covers all fees, all expenses, all possible taxes and any possible insurance coverage for yourself and for third persons to whom you might resort to. Besides the above remuneration you have no right respectively claims whatsoever against me or the Canton.

We have taken note that you will conduct business from your home office. No office rental space will be charged unless a business office center is required to hold conference meetings with a client.

The payment will be made against monthly invoice and evidence accompanied with a concise written report on your activity.

8. Non exclusivity

The above mandate is not exclusive.

9. Concept and co-ordination

Your execution of the mandate has to fit into our overall concept.

To accomplish your task you will co-operate with Mr Michael R. Oestreicher in Cincinatti and with our Project Leaders in Neuchâtel.

We will take care of all co-ordination matters abroad and in the Canton related to the activities under the present mandate.

10. Contact and relations with media

The Economics Department of the Canton of Neuchâtel and myself exclusively reserve for us the prerogative for all relations and contacts with the media in connection with the activities under the present mandate.

11. Contracting obligations / confidentiality

The present mandate does not entitle you to contract respectively incur obligations whatsoever on my behalf or that of the Canton of Neuchâtel. You commit yourself not to do any acts from which third persons could derive rights respectively claims whatsoever against me or the Canton of Neuchâtel.

You agree that all confidential information (being particularly lists, data and other information concerning prospects or companies in negotiation or currently established in Neuchâtel, as well as confidential information concerning the Canton's program generally) which you learn or obtain during your activities under the mandate shall be kept confidential by you.

12. Potential and activities outside the Neuchâtel mandate

When making use of your time, relations and know-how potential and in all your activities outside the Neuchâtel mandate you shall not execute any acts which would be contrary to the present agreement and to the interest of the Neuchâtel Economics Incentive Program.

13. Law to be applied, mandate and site for deciding differences

The present mandate is subject to the Swiss Law. The rules for the mandate according to article 394 and following of the Swiss Code of Obligations are to be applied.

Both parties herewith explicitly renounce to the law court of their domicile and herewith determine NEUCHATEL as site for deciding all differences or disputes which might possibly arise from this mandate.

The present mandate shall take effect on February 1st, 1995.

I am looking forward to a constructive co-operation and remain,

Yours sincerely,

Karl Döbler

I agree to the above mandate and accept it

Russell J. Pendred

KD/am